

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Sullivan, et al. Docket No.: SEC-P013US

Serial No.: 10/602,233 Art Unit: 3625

Filed: June 24, 2003 Examiner: Dunham, Jason B.

For: Systems and Methods for Transaction and Information Management

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

Dear Sir:

The following amendments and remarks are presented in response to the Examiner's Office Action mailed January 21, 2009. Please amend the above-referenced application as follows.

**Amendments to the Claims** are shown in the listing of claims beginning on page 2.

**Remarks/Arguments** begin on page 8.